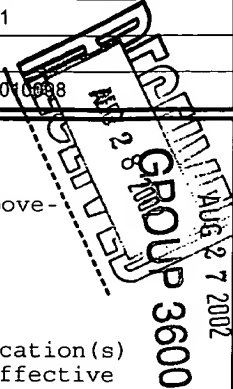


# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number 09/821,277  
Filing Date MARCH 29, 2001  
First Named Inventor SRINIVAS GUTTA ET AL.  
Group Art Unit 2161  
Examiner Name  
Attorney Docket Number US 01/0998



RECEIVED

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
  - ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
  - ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	JOHN VODOPIA	Registration No. (Attorney/Agent)	36,299
Signature		Date	8/20/02

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : \_\_\_\_\_ on the date below:

Name (Print Type)	GIGI LAMPRECHT
Signature	
Date	08/20/2002



RECEIVED

AUG 27 2002

GROUP 3600

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US 010098		Serial No. 09/821,277			
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant SRINIVAS GUTTA ET AL.					
		Filing Date 3/29/01		Group 2161			
<b>U.S. PATENT DOCUMENTS</b>							
Ex. Int.		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub- class	Trans. Yes No
	AG	W O O 1 1 5 4 4 9	1/3/01	PCT (WORLD)	H04N	7/173	
	AH	0 8 5 4 6 4 5 A 2	22/7/98	EUROPE	H04N	5/445	
	AI						
	AJ						
	AK						
<b>OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	AL	Smyth et al: "Surfing The Digital Wave Generating Personalised TV Listings Using Collaborative Case-Based Recommendation," Case-Based Reasoning Research And Development. International Conference, July 27, 1999, pages 562-571					
	AM	Takagi et al: "Conceptual matching and its applications to selection of TV programs and BGMs," System, Man, And Cybernetics, 1999. IEEE SMC '99 Conference on Tokyo, Japan 12-15 Oct. 1999, Piscataway, NJ, USA, IEEE, US, October 12, 1999, pages 269-273					
	AN						
Examiner				Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.							